**Sarah Johnson**

**Contact Information:**

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**Professional Summary:**

Highly skilled and dedicated Head of Compliance with 10 years of experience in the banking sector. Expert in developing compliance programs, conducting risk assessments, and ensuring adherence to regulatory requirements. Known for exceptional leadership skills, strategic thinking, and the ability to foster a culture of compliance within organizations.

**Education:**

**University of Manchester (Russell Group)**

* MSc Financial Regulation and Compliance, Distinction
* Graduated: 2013

**University of Edinburgh (Russell Group)**

* BSc Economics and Business, First Class Honours
* Graduated: 2010

**Professional Experience:**

**HSBC Bank** *Head of Compliance*  
*Manchester, UK*  
*2018 - Present*  
Leading the compliance team, responsible for developing and implementing comprehensive compliance programs. Conducting regular risk assessments and ensuring the bank adheres to all regulatory requirements.

* **Key Responsibilities:**
  + Leading a team of compliance officers, providing strategic direction and support.
  + Developing and updating compliance policies and procedures.
  + Conducting regular compliance audits and risk assessments to identify and mitigate potential risks.
  + Ensuring compliance with AML, GDPR, and other regulatory requirements.
  + Collaborating with senior management to integrate compliance into the bank's strategic planning.
  + Preparing and presenting compliance reports to the board of directors.
  + Investigating and resolving compliance issues and breaches.

**Barclays Bank** *Senior Compliance Manager*  
*Manchester, UK*  
*2014 - 2018*  
Managed the compliance function, ensuring the bank's operations were in line with regulatory requirements. Conducted risk assessments, developed compliance programs, and provided training to staff.

* **Key Responsibilities:**
  + Conducted comprehensive risk assessments and compliance audits.
  + Developed and implemented compliance policies and procedures.
  + Provided training and support to staff on compliance-related matters.
  + Monitored regulatory changes and ensured the bank's compliance programs were updated accordingly.
  + Investigated compliance issues and reported findings to senior management.
  + Collaborated with various departments to ensure a cohesive approach to compliance.

**Lloyds Banking Group** *Compliance Officer*  
*Manchester, UK*  
*2010 - 2014*  
Supported the compliance team in monitoring and enforcing compliance with regulatory requirements. Conducted due diligence, reviewed transactions, and assisted in developing compliance programs.

* **Key Responsibilities:**
  + Conducted due diligence on new and existing clients.
  + Monitored transactions for suspicious activities and potential compliance breaches.
  + Assisted in developing and implementing compliance policies and procedures.
  + Provided support and training to staff on compliance-related issues.
  + Prepared compliance reports and documentation for senior management.
  + Ensured compliance with AML and other regulatory requirements.

**Skills:**

* **Regulatory Compliance:** Extensive knowledge of regulatory requirements and best practices in the banking sector.
* **Risk Assessment:** Proficient in conducting risk assessments and developing risk mitigation strategies.
* **Policy Development:** Skilled in developing and implementing compliance policies and procedures.
* **Team Leadership:** Strong leadership skills with experience in managing and mentoring compliance teams.
* **Training and Development:** Experienced in providing compliance training and support to staff.
* **Strategic Thinking:** Ability to integrate compliance into the bank's strategic planning.
* **Analytical Skills:** Strong analytical abilities with a keen eye for detail.
* **Communication:** Excellent verbal and written communication skills, with the ability to present complex information clearly.

**Certifications:**

* **Certified Regulatory Compliance Manager (CRCM)**
* **International Diploma in Financial Crime Prevention (ICA)**
* **Certified Anti-Money Laundering Specialist (CAMS)**
* **Certificate in Risk Management (CIRM)**